

CPE PPS: Patient-level data capture

To be completed once per patient

This paper form is intended to aid collecting data in advance of entering it onto the data capture system (DCS). It cannot be used instead of the DCS.

Questions in grey are conditional on previous answers

* Denotes mandatory questions

Response boxes which are blank will require free text / dates / choices from longer lists not shown here

Response boxes with options (e.g. yes / no / unknown) will require an/multiple option(s) to be chosen

| PATIENT DETAILS | | | |
|-----------------|-------------------------|-------------------------|---|
| | Question | Response | Help notes |
| 1 | *Reporting Organisation | | Which ICU is the patient on? |
| 2 | *Date of interest | | DD/MM/YYYY The date of interest is the first available option in the following hierarchy: 1. Date of CPE screening test (if single test) 2. If multiple CPE screening tests: a. If any positive, date of first positive screen OR b. If all negative, date of most recent screen 3. Today's date if never screened |
| 3 | *NHS number | | 10 digit NHS number, if not available enter 999 999 9999 |
| 4 | *First name | | |
| 5 | *Surname | | |
| 6 | *Date of birth | | DD/MM/YYYY, if not known enter 01/01/1900 |
| 7 | *Sex | Male / Female / Unknown | Biological sex |

| PATIENT DETAILS 2 | | | |
|-------------------|--------------------------------|--|--|
| | Question | Response | Help notes |
| 8 | *Survey date | | DD/MM/YYYY Date chosen for the PPS |
| 9 | *Patient age group | Adults / Paediatrics / Neonates | Select age group of ICU |
| 10 | Ethnicity (stage one) | White Mixed or Multiple ethnic groups Asian or Asian British Black, African, Caribbean or Black British Other ethnic group | Ethnicity of patient (stage one selection) |
| 11 | Ethnicity (stage two) if White | English, Welsh, Scottish, Northern Irish or British Irish Gypsy or Irish Traveller | Ethnicity of patient (stage two selection) |

| | | | |
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| | | Any other White background | |
| 12 | Ethnicity (stage two) if Mixed or multiple ethnic groups | White and Black Caribbean White and Black African White and Asian Any other Mixed or Multiple ethnic background | Ethnicity of patient (stage two selection) |
| 13 | Ethnicity (stage two) if Asian or Asian British | Indian Pakistani Bangladeshi Chinese Any other Asian background | Ethnicity of patient (stage two selection) |
| 14 | Ethnicity (stage two) if Black, African, Caribbean or Black British | African Caribbean Any other Black, African or Caribbean background | Ethnicity of patient (stage two selection) |
| 15 | Ethnicity (stage two) if other | Arab Any other ethnic group | Ethnicity of patient (stage two selection) |
| 16 | Please state other White background | | Ethnicity of patient (free text) |
| 17 | Please state other Mixed or Multiple ethnic background | | Ethnicity of patient (free text) |
| 18 | Please state other Asian background | | Ethnicity of patient (free text) |
| 19 | Please state other Black, African or Caribbean background | | Ethnicity of patient (free text) |
| 20 | Please state other ethnic group | | Ethnicity of patient (free text) |
| 21 | *UK resident | Yes / No / Unknown | Indicate if patient normally resides in the UK. If for a neonate, please use details of mother |
| 22 | Normal country of residence if not UK | | Full list of countries and territories as drop down options in the DCS |
| 23 | Normal country of residence if Other | | |
| 24 | *Birthweight (number in grams) | | Birth weight (in grams) of neonatal patients i.e. babies less than or equal to 28 days old OR any age but on NICU. |
| 25 | *Gestation (weeks + days) | | Gestation for babies aged under 1 year, format WW + D e.g. 35 + 4 |
| 26 | *Patient category | NHS Private Diplomatic Other | Indicate patient category on admission |

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| | | Unknown | |
| 27 | Other patient category | | Specify patient category if "other" |
| 28 | *Date admitted to hospital | | DD/MM/YYYY Date admitted to Trust, or date of birth if neonate and admitted since birth |
| 29 | *Admitted from | Home A&E International transfer Nursing home Other Trust Non-NHS hospital Non-health-related institute Temporary accommodation Other Unknown | Setting patient admitted from into Trust |
| 30 | Other admitted from | | Specify if admitted from "other" location |
| 31 | *Date admitted to ICU | | DD/MM/YYYY Date admitted to ICU |
| 32 | Time admitted to ICU | | HH:MM Specify time admitted to ICU |
| 33 | *Location admitted to ICU from | Home A&E HDU within same Trust Other ward within same Trust International transfer Nursing home Other Trust Non-NHS hospital Non-health-related institute Temporary accommodation Other Unknown | Setting patient admitted from into ICU |
| 34 | Other admitted from (ICU) | | Specify if admitted to ICU from "other" location |
| 35 | *If admitted to ICU from another ward within the same Trust, please specify | Adult / Paediatrics / Neonates / Other | Specify speciality patient was admitted into the ICU from |
| 36 | If other, please specify | | Specify other/speciality |
| 37 | If adult, please specify adult speciality | General medical General surgical Speciality Intensive care | |
| 38 | If 'Speciality', please specify which adult speciality | | Specify other/speciality |
| 39 | If paediatrics, please specify paediatrics speciality | Medical Surgical Speciality Intensive care | |

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| 40 | If 'Speciality', please specify which paediatrics speciality | | Specify other/speciality |
| 41 | If neonates, please specify neonates speciality | NICU Neonatal HDU SCBU | |
| 42 | APACHE II score | Integer, ranging 0 - 71 | For adult patients only. Score measures severity of disease for patients admitted to ICUs. Provide score assigned to patient on admission to ICU. Range 0-71. |

| MICROBIOLOGY | | | |
|---------------------|--|--------------------------------|--|
| | Question | Response | Help notes |
| 43 | *Was this patient tested for CPE, either as screening or as part of clinical investigations? | Yes / No / Unknown | If more than one CPE screening/clinical specimen collected, please report for the first CPE positive specimen. If all CPE screening/clinical specimens were negative then please report for the most recent. |
| 44 | *Was it a screening or clinical specimen? | Screening / Clinical / Unknown | Indicate if screening specimen or clinical specimen If more than one CPE screening/clinical specimen collected, please report for the first CPE positive specimen. If all CPE screening/clinical specimens were negative then please report for the most recent. |
| 45 | *Date CPE specimen collected | | DD/MM/YYYY Date specimen collected (if single specimen). If more than one CPE screening/clinical specimen collected, please provide the date of the first CPE positive specimen. If all were negative then the date of the most recent. |
| 46 | Specimen/laboratory number assigned to specimen | | Hospital laboratory number (text) |
| 47 | Indicate anatomical site specimen collected if clinical specimen | | Full list of anatomical sites as drop down options in the DCS. |

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| 48 | *Organism | | Full list of organisms as drop down options in the DCS. Organism isolated associated with CPE positive result. If no result yet, select "Unknown/result awaited". If no organism isolated, select "No organism isolated". |
| 49 | Does phenotypic testing indicate the presence of a carbapenemase? | Yes / No / Unknown | Indicate if phenotypic testing indicates presence of a carbapenemase |
| 50 | Indicate which carbapenemase family was detected (select all that apply) | OXA-48 IMP VIM NDM KPC Unknown Other None | Indicate which carbapenemase family was detected (select all that apply) |
| 51 | If other, specify other carbapenemase family | | |

| CPE SCREENING | | | |
|----------------------|--------------------------------------|--|--|
| | Question | Response | Help notes |
| 52 | *CPE on admission to Trust | Yes – colonised Yes - infected No Unknown | Was the patient known to be CPE positive on admission to Trust |
| 53 | *CPE screening on admission to Trust | Yes / No / Unknown | Found to be CPE positive on admission to Trust. "Yes" if screening specimen positive, "no" if screening specimen negative, "unknown" if no screen performed. |
| 54 | *CPE on admission to ICU | Yes / No / Unknown | Known to be CPE positive on this admission to ICU i.e. communicated/identified at time of admission. |
| 55 | *ICU screen required | Yes / No / Unknown | Did patient meet requirement to be screened for CPE on ICU in accordance with your policy? |
| 56 | *ICU admission screen | Yes / No / Unknown | Was patient screened for CPE on this admission to ICU? |

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| 57 | *ICU admission screen date | | DD/MM/YYYY Date admission screen was taken on ICU |
| 58 | *Result of ICU admission screen | Positive / Negative / Unknown | Indicate result from ICU CPE admission screen |
| 59 | *Previous CPE | Yes – colonised Yes - infected No Unknown | Indicate if patient known to be CPE colonised/infected in 12 months prior to first day of survey |
| 60 | If previous history of CPE, specify date of most recent positive result. | | DD/MM/YYYY Provide date of most recent CPE positive result (prior to ICU admission screen, if taken) |
| 61 | Previous contact with CPE | Yes / No / Unknown | Indicate if in contact with known CPE case during this admission |

| HEALTHCARE EXPOSURES | | | |
|-----------------------------|--|--------------------|---|
| | Question | Response | Help notes |
| 62 | *UK non-NHS hospital admission in previous 12 months | Yes / No / Unknown | Any hospital admissions in the UK to non-NHS hospitals in the previous 12 months |
| 63 | If yes, specify UK non-NHS hospital admission | | Provide name(s) of UK non-NHS hospitals admitted to in previous 12 months (text) |
| 64 | *Healthcare overseas in previous 12 months | Yes / No / Unknown | Any hospital admissions overseas in 12 months prior to admission to the trust |
| 65 | If yes, specify where healthcare was received overseas | | List countries where overseas healthcare received in previous 12 months. Full list of countries and territories as drop down options in the DCS |
| 66 | *Healthcare worker | Yes / No / Unknown | Is the patient a healthcare worker? Includes working in hospitals, care/nursing homes, hospices |

| TRAVEL EXPOSURES | | | |
|-------------------------|--|--------------------|---|
| | Question | Response | Help notes |
| 67 | *Overseas travel in previous 12 months (if known) | Yes / No / Unknown | Any overseas travel in 12 months prior to admission to Trust |
| 68 | *If yes, specify overseas travel (select all that apply) | | List countries travelled to in previous 12 months. Full list of countries and territories as drop down options in the DCS |
| 69 | Family travel | Yes / No / Unknown | Have any family members within the same household as patient travel in the previous 12 months |
| 70 | If yes, specify family travel (select all that apply) | | List countries where family members travelled in previous 12 months. Full list of countries and territories as drop down options in the DCS |

| INTERVENTIONS | | | |
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| | Question | Response | Help notes |
| 71 | *Has the patient undergone major surgery since admission to the Trust, on or prior to the date of interest? | Yes / No / Unknown | Indicate if patient has had major surgery during this current admission to Trust. Surgery is defined as a procedure where an incision is made (not just a needle puncture), with breach of mucosa and/or skin (not necessarily in an operating theatre). If the patient has had more than one surgery since admission, report the most recent surgery. |
| 72 | *If yes, surgery date | | DD/MM/YYYY date of surgery |
| 73 | If yes, surgery type | | If yes, indicate broad specialty. e.g. cardiothoracic, urology, vascular (text) |
| 74 | *Has the patient undergone endoscopy since admission to the Trust, on or prior to the date of interest? | Yes / No / Unknown | Has the patient undergone endoscopy during this admission, prior to date of interest |
| 75 | *If yes, endoscopy date | | DD/MM/YYYY date of most recent endoscopy |

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| 76 | If yes, endoscopy type | Colonoscopy Sigmoidoscopy Upper endoscopy/ Esophagogastroduodenoscopy (EGD) Endoscopic retrograde cholangiopancreatography (ERCP) Percutaneous endoscopic gastrostomy (PEG) Other | If yes, specify type of endoscopy |
| 77 | If other, specify endoscopy type | | Text |
| 78 | *Is the patient currently receiving chemotherapy? | Yes / No / Unknown | Is the patient currently receiving chemotherapy for cancer treatment, or received chemotherapy in the four weeks prior to the date of interest |
| 79 | If yes, specify chemotherapy indication (select all that apply) | | If receiving chemotherapy, specify cancer treatment site. Full list of sites/indications for chemotherapy (including 'other') as drop down options on the DCS |
| 80 | If other, specify chemotherapy indication | | Text |
| 81 | *Is the patient currently receiving renal haemodialysis? | Yes / No / Unknown | Receiving regular renal haemodialysis or received haemodialysis in the four weeks prior to the date of interest |
| 82 | *Is there at least one CVC in situ on date of interest? | Yes / No / Unknown | Indicate if the patient had a CVC in place at the date of interest. See "Patient Details" tab for the date of interest entered. |
| 83 | If yes, CVC date | | DD/MM/YYYY date of insertion. If multiple, select the insertion date of the CVC which has been in situ the longest. |
| 84 | *If no, was a CVC in situ the day before the date of interest? | Yes / No / Unknown | If no, indicate if the patient had a CVC in place in the 48 hours before the date of interest. If time is unavailable, only assess one calendar day prior to the date of interest, so that the 48 hour window is not exceeded. See "Patient Details" tab for the date of interest entered. |

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| 85 | Is there at least one PVC in situ on date of interest? | Yes / No / Unknown | Indicate if the patient had a PVC in place at the date of interest. See "Patient Details" tab for the date of interest entered. |
| 86 | If yes, PVC date | | DD/MM/YYYY date of insertion. If multiple, select the insertion date of the PVC which has been in situ the longest. |
| 87 | If no, was a PVC in situ the day before the date of interest? | Yes / No / Unknown | If no, indicate if the patient had a PVC in place in the 48 hours before the date of interest. If time is unavailable, only assess one calendar day prior to the date of interest, so that the 48 hour window is not exceeded. See "Patient Details" tab for the date of interest entered. |
| 88 | Is there a urinary catheter in situ on the date of interest? | Yes / No / Unknown | Indicate if the patient had a urinary catheter in place at the date of interest. See "Patient Details" tab for the date of interest entered. |
| 89 | If yes, urinary catheter date | | DD/MM/YYYY date of insertion |
| 90 | If no, was a urinary catheter in situ the day before the date of interest? | Yes / No / Unknown | If no, indicate if the patient had a urinary catheter in place in the 48 hours before the date of interest. If time is unavailable, only assess one calendar day prior to the date of interest, so that the 48 hour window is not exceeded. See "Patient Details" tab for the date of interest entered. |
| 91 | *Is the patient under intubation (with or without ventilation) on the date of interest? | Yes / No / Unknown | Indicate if the patient was under intubation with or without ventilation (endotracheal/nasotracheal tube or tracheostomy) at the date of interest. See "Patient Details" tab for the date of interest entered. |
| 92 | If yes, intubation date | | DD/MM/YYYY date of insertion |

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| 93 | *If no, was the patient under intubation (with or without ventilation) the day before the date of interest? | Yes / No / Unknown | If no, indicate if the patient was intubated in the 48 hours before the date of interest. If time is unavailable, only assess one calendar day prior to the date of interest, so that the 48 hour window is not exceeded. See "Patient Details" tab for the date of interest entered. |
| 94 | Does the patient have a nasogastric tube on the date of interest? | Yes / No / Unknown | Indicate if the patient had an NGT in place at the date of interest. See "Patient Details" tab for the date of interest entered. |
| 95 | If yes, nasogastric tube date | | DD/MM/YYYY date of insertion |
| 96 | If no, did the patient have a nasogastric tube in the day before the date of interest? | Yes / No / Unknown | If no, indicate if the patient had an NGT in place in the 48 hours before the date of interest. If time is unavailable, only assess one calendar day prior to the date of interest, so that the 48 hour window is not exceeded. See "Patient Details" tab for the date of interest entered. |

| ANTIMICROBIALS (1) | | | |
|---------------------------|--|--------------------|---|
| | Question | Response | Help notes |
| 97 | *Has the patient received carbapenems in the four weeks prior to the date of interest? | Yes / No / Unknown | Indicate if the patient received carbapenems in the four weeks prior to the date of interest. See "Patient Details" tab for the date of interest entered. |
| 98 | If yes, specify which carbapenems (name all that apply) | | Indicate which carbapenems the patient received. Full list of carbapenems as drop down options in the DCS (see appendix) |

| | | | |
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| 99 | *Has the patient received carbapenems in the one year prior to the date of interest, but not in the four weeks prior? | Yes / No / Unknown | Indicate if the patient received carbapenems in the one year prior to the date of interest, but not in the four weeks prior to the date of interest. See "Patient Details" tab for the date of interest entered. |
| 100 | If yes, specify which carbapenems (name all that apply) | | Indicate which carbapenems the patient received. Full list of carbapenems as drop down options in the DCS (see appendix) |
| 101 | *Has the patient received 3rd generation cephalosporins in the four weeks prior to the date of interest? | Yes / No / Unknown | Indicate if the patient received 3rd generation cephalosporins in the four weeks prior to date of interest. See "Patient Details" tab for the date of interest entered. |
| 102 | If yes, specify which 3rd generation cephalosporins (name all that apply) | | Indicate which 3rd generation cephalosporins the patient received. Full list of 3rd generation cephalosporins as drop down options in the DCS (see appendix) |
| 103 | *Has the patient received 3rd generation cephalosporins in the one year prior to the date of interest, but not in the four weeks prior? | Yes / No / Unknown | Indicate if the patient received 3rd generation cephalosporins in the one year prior to the date of interest, but not in the four weeks prior to the date of interest. See "Patient Details" tab for the date of interest entered. |
| 104 | If yes, specify which 3rd generation cephalosporins (name all that apply) | | Indicate which 3rd generation cephalosporins the patient received. Full list of 3rd generation cephalosporins as drop down options in the DCS (see appendix) |

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| 105 | *Antimicrobials prescribed and taken on the date of interest | Yes / No / Unknown | Indicate if the patient has received at least one systemic antimicrobial on the date of interest (given or planned treatment, including intermittent treatments, e.g. alternate day or medical prophylaxis). For surgical prophylaxis, check whether any surgical prophylaxis was given in the 24 hours before 8 a.m. on the day of the survey. If "yes", collect antibiotic data. See "Patient Details" tab for the date of interest entered for the patient. |
| 106 | *If yes, select the number of antimicrobials taken (1-5) and go to question 107 | | Indicate how many antimicrobials (up to five) were prescribed and taken on the date of interest. Please see "Patient Details" tab for the date of interest entered for the patient. |
| | If no, go to question 112 | - | - |

| ANTIMICROBIALS (2) | | | | | | | |
|---------------------------|--|---|---|---|---|---|--|
| | For each of the number of antimicrobials prescribed and taken on the date of interest (see question 106): | | | | | | |
| | Question | Response 1 | Response 2 | Response 3 | Response 4 | Response 5 | Help notes |
| 107 | *Antimicrobial name | | | | | | Select which antimicrobial. If more than 5, prioritise entry of named antibiotic agents from the list, up to the maximum of 5. After which, if patient is taking 1 (or more) antifungal or antiviral agents select 'Any antifungal agent' or 'Any antiviral agent' from the drop-down menus. Full list of antimicrobials as drop down options in the DCS (see appendix). |
| 108 | *Indication for antimicrobial | CI = treatment of community-acquired infection LI = treatment of infection acquired in long-term care facility (e.g. nursing home) HI = treatment for acute hospital-acquired infection SP = surgical prophylaxis MP = medical prophylaxis O = other indication UI = unknown indication (confirmed) | CI = treatment of community-acquired infection LI = treatment of infection acquired in long-term care facility (e.g. nursing home) HI = treatment for acute hospital-acquired infection SP = surgical prophylaxis MP = medical prophylaxis O = other indication UI = unknown indication (confirmed) | CI = treatment of community-acquired infection LI = treatment of infection acquired in long-term care facility (e.g. nursing home) HI = treatment for acute hospital-acquired infection SP = surgical prophylaxis MP = medical prophylaxis O = other indication UI = unknown indication (confirmed) | CI = treatment of community-acquired infection LI = treatment of infection acquired in long-term care facility (e.g. nursing home) HI = treatment for acute hospital-acquired infection SP = surgical prophylaxis MP = medical prophylaxis O = other indication UI = unknown indication (confirmed) | CI = treatment of community-acquired infection LI = treatment of infection acquired in long-term care facility (e.g. nursing home) HI = treatment for acute hospital-acquired infection SP = surgical prophylaxis MP = medical prophylaxis O = other indication UI = unknown indication (confirmed) | Please give indication for this antimicrobial |

| | | UNK = unknown or missing information | |
|-----|-----------------------------------|--------------------------------------|--------------------------------------|--------------------------------------|--------------------------------------|--------------------------------------|---|
| 109 | *CPE indication for antimicrobial | Yes / No / Unknown | Is this antimicrobial prescribed to manage CPE? |
| 110 | *Start date for antimicrobial | | | | | | DD/MM/YYYY Start date of the antimicrobial. If the antimicrobial was already given on admission to hospital, provide the date of admission. |
| 111 | Antibiotic stewardship | Yes / No / Unknown | Is antimicrobial prescription in line with local guidelines |

END

| ANTIMICROBIALS (3) | | | |
|---------------------------|---|--------------------|---|
| | Question | Response | Help notes |
| 112 | *Antimicrobials prior to the date of interest | Yes / No / Unknown | Indicate if the patient has received at least one systemic antimicrobial during this hospital admission which was no longer being given on the date of interest. Please see "Patient Details" tab for the date of interest entered for the patient. |
| 113 | *If yes, select the number of antimicrobials taken (1-5) and go to question 114 | | Indicate how many systemic antimicrobials the patient has received since admission to hospital, but prior to the date of interest. If more than 5 antimicrobials were prescribed, please enter details for the most recent 5. Please see "Patient Details" tab for the date of interest entered for the patient. |
| | If no, END | - | - |

| ANTIMICROBIALS (4) | | | | | | | |
|--|--|--|--|--|--|--|--|
| For each of the antimicrobials prescribed and taken this admission, but no longer taken on the date of interest (see question 113): | | | | | | | |
| | Question | Response 1 | Response 2 | Response 3 | Response 4 | Response 5 | Help notes |
| 114 | *Antimicrobial name (before date of interest) | | | | | | Select which antimicrobial. If more than 5, prioritise entry of named antibiotic agents from the list, up to the maximum of 5. After which, if patient is taking 1 (or more) antifungal or antiviral agents select 'Any antifungal agent' or 'Any antiviral agent' from the drop-down menus. Full list of antimicrobials as drop down options in the DCS (see appendix). |
| 115 | Indication for antimicrobial (before date of interest) | CI = treatment of community-acquired infection LI = treatment of infection acquired in long-term care facility (e.g. nursing home) HI = treatment for acute hospital-acquired infection SP = surgical prophylaxis MP = medical prophylaxis O = other indication | CI = treatment of community-acquired infection LI = treatment of infection acquired in long-term care facility (e.g. nursing home) HI = treatment for acute hospital-acquired infection SP = surgical prophylaxis MP = medical prophylaxis O = other indication | CI = treatment of community-acquired infection LI = treatment of infection acquired in long-term care facility (e.g. nursing home) HI = treatment for acute hospital-acquired infection SP = surgical prophylaxis MP = medical prophylaxis O = other indication | CI = treatment of community-acquired infection LI = treatment of infection acquired in long-term care facility (e.g. nursing home) HI = treatment for acute hospital-acquired infection SP = surgical prophylaxis MP = medical prophylaxis O = other indication | CI = treatment of community-acquired infection LI = treatment of infection acquired in long-term care facility (e.g. nursing home) HI = treatment for acute hospital-acquired infection SP = surgical prophylaxis MP = medical prophylaxis O = other indication | Please give indication for this antimicrobial |

| | | UI = unknown indication (confirmed) Unknown | |
|-----|---|--|--|--|--|--|---|
| 116 | *CPE indication for antimicrobial (before date of interest) | Yes / No / Unknown | Was this prescribed to manage CPE (before the date of interest). Please see "Patient Details" tab for the date of interest entered for the patient. |
| 117 | Start date for antimicrobial (before date of interest) | | | | | | DD/MM/YYYY Start date of the antimicrobial. If the antimicrobial was already given on admission to hospital, provide the date of admission. |
| 118 | Antibiotic stewardship (before date of interest) | Yes / No / Unknown | Is antimicrobial prescription in line with local guidelines |

END